IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Kie 🔏. Ahn et al.

Title: Transfer GHLY RELIABLE AMORPHOUS HIGH-K GATE OXIDE ZrO2

Docket No.:

1303.026US1

August 30, 2001

Examiner:

Filed:

David S. Blum

Serial No.: 09/945,535

Due Date: N/A

Group Art Unit: 2813

MS Amendment

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

We are transmitting herewith the following attached items (as indicated with an "X"):

 \underline{X} A return postcard.

X A Supplemental Information Disclosure Statement (2 pgs.), and Form 1449 (1 pg.).

 \underline{X} A check in the amount of \$180.00 to cover the fee for consideration of Information Disclosure Statement under 97(c).

X A Communication Concerning Related Applications (1 page).

If not provided for in a separate paper filed herewith, Please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

Customer Number 21186

Atty: Marvin L. Beekman

Reg. No. 38,377

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: MS Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 22 day of June, 2005.

Name

Signature Lee

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

(GENERAL)

<u>S/N 09/945,535</u> <u>PATENT</u>

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Title:

HIGHLY RELIABLE AMORPHOUS HIGH-K GATE OXIDE ZrO2

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

MS Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

In compliance with the duty imposed by 37 C.F.R. § 1.56, and in accordance with 37 C.F.R. §§ 1.97 *et. seq.*, the enclosed materials are brought to the attention of the Examiner for consideration in connection with the above-identified patent application. Applicants respectfully request that this Supplemental Information Disclosure Statement be entered and the documents listed on the attached Form 1449 be considered by the Examiner and made of record. Pursuant to the provisions of MPEP 609, Applicants request that a copy of the 1449 form, initialed as being considered by the Examiner, be returned to the Applicants with the next official communication.

Pursuant to 37 C.F.R. §1.97(c)(2), Applicants have included the fee of \$180.00 as set forth in 37 C.F.R. §1.17(p). Please charge any additional fees or credit any overpayment to Deposit Account No. 19-0743.

o

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Serial No :09/945,535 Filing Date: August 30, 2001

Title: HIGHLY RELIABLE AMORPHOUS HIGH-K GATE OXIDE ZrO2

Page 2 Dkt: 1303.026US1

The Examiner is invited to contact the Applicants' Representative at the below-listed telephone number if there are any questions regarding this communication.

Pursuant to 37 C.F.R. 1.98(a)(2), Applicant believes that copies of cited U.S. Patents and Published Applications are no longer required to be provided to the Office. Notification of this change was provided in the United States Patent and Trademark Office OG Notices dated October 12, 2004. Thus, Applicant has not included copies of any US Patents or Published Applications cited with this submission. Should the Office require copies to be provided, Applicant respectfully requests that notice of such requirement be directed to Applicant's belowsigned representative. Applicant acknowledges the requirement to submit copies of foreign patent documents and non-patent literature in accordance with 37 C.F.R. 1.98(a)(2).

Respectfully submitted,

KIE Y. AHN ET AL.

By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A. P.O. Box 2938 Minneapolis, MN 55402 (612) 373-69**X 60**

Date 6-22-05

Reg. No. 38,377

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Name KACIA LEE

Kacia Lee

PTO/SB/08A(10-01 Approved for use through 10/31/2002, OMB 651-003: Patent & Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for form 1449A/PTO INFORMATION DISCLOSURE Complete if Known **Application Number** 09/945,535 STATEMENT BY APPLICANT August 30, 2001 (Use as many sheets as necessary) Filing Date First Named Inventor Ahn, Kie 2813 **Group Art Unit Examiner Name** Blum, David Attorney Docket No: 1303.026US1 Sheet 1 of 1

US PATENT DOCUMENTS							
Examiner Initial *	USP Document Number	Publication Date	Name of Patentee or Applicant of cited Document	Filing Date If Appropriate			
	US-2003/0059535-A1	03/27/2003	Luo, L., et al.	09/25/2001			
	US-2004/0135186-A1	07/15/2004	Yamamoto, I.	12/29/2003			
	US-2005/0124174-A1	06/09/2005	Ahn, K. Y., et al.	01/07/2005			
	US-6,509,280	01/21/2003	Choi, Sung-Je	02/13/2002			

FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	T ²			

OTHER DOCUMENTS NON PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s).	T²			
		publisher. city and/or country where published				

S/N 09/945,535

PATENT

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August 30, 2001

Docket: 1303.026US1

Title:

HIGHLY RELIABLE AMORPHOUS HIGH-K GATE OXIDE ZRO2

COMMUNICATION CONCERNING RELATED APPLICATION(S)

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Applicants would like to bring to the Examiner's attention the following related application(s) in the above-identified patent application:

Serial/Patent No.

Filing Date

Attorney Docket

Title

11/148505

June 9, 2005

1303.061US2

ATOMIC LAYER DEPOSITED

NANOLAMINATES OF HfO2/ZrO2

FILMS AS GATE DIELECTRICS

Continuations and divisionals may be later filed on the cases listed above, or cited to the Examiner in any previous Communication Concerning Related Applications. Applicant requests that the Examiner review all continuations and divisionals of the above-listed or previously-cited patent applications before allowing the claims of the present patent application.

Respectfully submitted,

KIE Y. AHN ET AL.

By Applicants' Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.

P.O. Box 2938

Minneapolis, MN 55402

(612) 373-6951

Date_ 6-22-05

В

Marvin L. Beekmar

Reg. No. 38,377

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on this 22 day of June, 2005.

Name LACIA LEE

Signature